

TRANSACTION FORM

Please read the Terms and Conditions, KIM, SID, SAI and any addendum issued for the respective schemes

Toll Free Number: 1-800-570-5001 | Email: support@capitalmindmf.com | Website: https://www.capitalmindmf.com 1. DISTRIBUTOR INFORMATION* Broker Code/ ARN / RIA** / PMRN** Code Internal Code for ISC Date Timestamp Sub Broker /Agent's EUIN* Bank Branch Code ARN Code Sub - Agent / Employee Reference No. ** 📄 By mentioning RIA /PMRN code, I/We authorize you to share with the Investment Adviser/ Portfolio Manager the details of my/our transactions in the scheme(s) of Capitalmind Mutual Fund. * In case the EUIN box has been left blank, please refer the point related to EUIN in the Declaration & Signatures section overleaf. Commission "if any applicable" shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor 1. EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.) Folio No. Name of Unit Holder First Name Middle Name Last Name 2. ADDITIONAL PURCHASE 1st Applicant 2nd Applicant 3rd Applicant KYC compliance status: Please (,/) Scheme Please Specify below Regular Plan Growth (Default) Direct Plan Third Party Payment (Please attach 'Third Party Payment Declaration Form') Non-Third Party Payment Payment Type: Please () Core Banking A/c No.: A/c. Type Please (\checkmark) \square SB \square CA \square CC \square SB-NRE \square SB-NRO \square Others Amount of cheque/ Cheque / UTR No. & Date Pay-In Bank A/c No. (For Cheque Only). **Net Purchase Amount** Drawn on Bank / Branch RTGS / NEFT in figures ₹ TRANSACTION CHARGES: In case, the additional purchase amount is ₹10,000 or above and distributor has opted to receive transaction charges, ₹100/- will be deducted from the Purchase amount and 2A. DEMAT ACCOUNT DETAILS - Mandatory for units in Demat Mode - Please ensure that the sequence of names as mentioned as given in folio, matches as per the Depository Details. National Securities Depository Limited (NSDL) 🗸 Central Depository Services (India) Limited (CDSL) ✓ **DP Name: DP Name:** DP ID I N Benef. A/C No. 16 Digit A/C No. Enclosures: Please () Client Masters List (CML) Transaction cum Holding Statement Delivery Instruction Slip (DIS) 3. REDEMPTION - I WISH TO REDEEM UNITS / AMOUNT AS UNDER: Scheme Please Specify below Regular Plan Growth (Default) Direct Plan Amount (in figures) ₹ Or Units (in figures): Or All Units Amount (in words) ₹ Direct Credit to other than Default Bank Account: I/We hereby request you to credit the proceeds of the transaction to one of the multiple bank accounts already registered under the folio... 4. SWITCH REQUEST - I WISH TO SWITCH UNITS / AMOUNT AS UNDER: From Scheme Please Specify below Regular Plan Growth (Default) Direct Plan Or All Units Or Units (in figures): Amount (in figures) ₹ Amount (in words) ₹ To Scheme Please Specify below Regular Plan Growth (Default) Direct Plan

5. DECLARATION AND SIGNATURES

I/We have read and understood the contents of the respective Scheme Information Document. Statement of Additional Information and Key Information Memorandum of Capitalmind Mutual Fund. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this transaction. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For investors investing in Direct Plan: I/We hereby agree that the AMC has not recommended or advised me/us regarding the suitability or appropriateness of the product / scheme / plan.

I /We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature of 1st Applicant/Guardian/Authorised Signatory/PoA/Karta	Signature of 2nd Applicant/Author	orised Signatory/PoA	ignature of 3rd Applicant/Authorised Signatory/PoA	
ACKNOWLEDGEMENT SLIP				
Folio No.:	Additional Purchase	Redemption	Switch Date: D D M M Y Y	Υ
Scheme:	Amount (₹):		or Units:	
From Scheme (in case of switch):		To Scheme:		
Capitalmind Asset Management Private Limited 2323	3, Prakash Arcade 1st Floor, 17th Cross HS	R Layout Sector 1, Bengaluru,	Karnataka - 560102.	
Received from Mr. / Ms.	Date://			

TERMS AND CONDITIONS

GENERAL INSTRUCTIONS

Application No.

- This Transaction Form is to be used for purchases, switches, and redemptions from existing investments. New applicants who wish to invest in Capitalmind Mutual Fund should fill out a separate, prescribed Common Application Form
- Please read the Scheme Information Document (SID) and the Key Information Memorandum (KIM) of the respective schemes carefully before investing.
- Please strike off any sections that are not relevant/applicable. Any corrections made to the Transaction Form must be counter-signed in accordance with your mode of holding.
- Permanent Account Number (PAN): It is mandatory for all investors (including guardians, joint holders, NRIs, and Power of Attorney holders) to provide their Income Tax Permanent Account Number 4. (PAN) and submit a photocopy of the PAN card at the time of unit purchase, except for investors who are exempt from the PAN requirement. Please refer to the KYC Form for details on PAN exemptions. The attested copy of the PAN card must be certified by a Notary Public, a Gazetted Officer, a Bank Manager, or a financial adviser under their official seal. The attestation should clearly include the name and designation of the person attesting. Applications without valid PAN documentation will be rejected.
- Please refer to the 'Instructions' below to help fill in the required details correctly and completely.
- Completed Transaction Forms should be submitted to the nearest Investor Service Center of Capitalmind Mutual Fund or KFin Technologies Limited (formerly KFin Technologies Private Limited).
- If the investor has more than one transaction to submit, a separate form should be used for each transaction.

INSTRUCTIONS FOR FILLING THE TRANSACTION FORM DISTRIBUTOR INFORMATION

- In case the investor is applying directly, they should clearly mention "DIRECT" in the Broker Code/ARN column
- Any addition or cancellation of Distributor Information must be countersigned by the investor; otherwise, the same is liable to be rejected.
- Distributors are advised to ensure that they fill in the RIA/PMRN code if they are a Registered Investment Advisor or Portfolio Manager.
- Quoting of the EUIN (Employee Unique Identification Number) is mandatory in the case of advisory transactions.
- Investors are requested to note that the EUIN is applicable for transactions such as Purchases, Switches, and Registrations of SIP/STP. However, it is not applicable for transactions such as individual installments under SIP, SWP, or STP
- Investors are requested to note that the EUIN is primarily applicable to salespersons of non-individual ARN holders only (whether acting in the capacity of a main distributor or a sub-broker). Furthermore, the EUIN is not applicable to overseas distributors who comply with AMFI guidelines

Section 1 - EXISTING UNIT HOLDER INFORMATION

- This section is mandatory.
- Please furnish Existing Folio Number, PAN and name of the First/Sole Unit holder.

Section 2 - ADDITIONAL PURCHASE REQUEST

- Investors subscribing under the Direct Plan of the schemes should indicate the Scheme/Plan name in the transaction form as "Scheme Name Direct Plan" (e.g., Capitalmind Mutual Fund Direct Plan). Investors should also mention "Direct" in the ARN column of the transaction form. However, if a Distributor Code is mentioned in the transaction form but "Direct Plan" is indicated against the Scheme name, the Distributor Code will be ignored, and the transaction will be processed under the Direct Plan.
- Please note that if an application is received for the Regular Plan without a Distributor Code or without "Direct" mentioned in the ARN column, the application will be processed under the Direct Plan.
- For additional purchases, the cheque should be payable locally at the city where the purchase application is submitted and should be drawn on a bank that is a member of the local Clearing House. All cheques accompanying the additional purchase request should be crossed "Account Payee Only" and drawn in favour of the Scheme Name in which the investment is being made.

Note:

- For Additional purchase, cheque should be payable locally at the city where the purchase application is deposited, and should be drawn on any bank that is a member of the local Clearing House. All cheques accompanying the additional purchase request should be crossed "Account Payee Only", and drawn in favour of the Scheme Name in which the investment is being
- As per RBI guidelines, cheques with alterations in Payee name and the Amount will not be honoured. Hence alterations in the cheques should be avoided.
- Non-Resident Indians (NRI) and Person of Indian Origin (PIOs) are requested to attach a Foreign Inward Remittance Certi ficate (FIRC) or an Account Debit Certificate from the bankers along with the additional purchase request form to enable the AMC to ascertain the repatriation status of the amount invested.
- n case the investor desires to invest in more than one scheme/ plan/ option, he should submit a separate payment instrument and a separate transaction form for each such investment
- Please mention the Folio Number and the Name of the First Unit holder behind the cheque before they are handed over to any courier / messenger / distributor / ISC.
- If investor wish to hold the Units in Demat form, please provide the details of Demat account in Section 2 A

Section 3 - REDEMPTION REQUEST

- Please specify the scheme / option details and the amount / number of units you wish to redeem. If all units are to be redeemed, please tick against the box provided for the purpose. Where Units under a Scheme are held under both Regular and Direct Plans and the redemption request pertains to the Direct Plan, the same must clearly be mentioned on the request, failing which the request would be processed from the Regular Plan. However, where Units under the requested Option are held only under one Plan, the request would be processed under such Plan.
- . If the redemption request specifies both amount and units for redemption, the redemption transaction would be processed on the basis of number of units.
- In case the number of units or amount is not specified in the redemption request, the request will be rejected.
- If the balance units in the folio at the time of redemption is not adequate to cover the amount of request, all units in the folio shall be redeemed.
- The Bank Mandate mentioned in the original application form will be considered as the default bank mandate and all additional bank mandates would be considered as optional bank mandates. To change the Default Bank Mandate under a folio, the investor needs to fil I the Bank Accounts Registration Form and submit it to the nearest Investor Service Centre
- In case the investor wants the redemption proceeds to be credited to any one of the optional bank accounts from amongst the bank mandates registered under the folio, the investor needs to clearly indicate the same in the redemption application; in the absence of such indication, the redemption proceeds would be credited to the default bank account.
- In case request for redemption is received together with a change of bank account (using Transaction Form or Bank Accounts Registration Form) or before verification and validation of the
 new bank account, the redemption request would be processed to the registered default bank account. Unit holders may note that it is desirable to submit their requests for change in bank
 details at least ten working days prior to date of redemption/dividend payment, if any. Any redemption request placed along or during this period shall ordinarily be processed as per the earlier
 bank account registered in the records of the Registrars.
- Capitalmind Mutual Fund will endeavour to remit the redemption proceeds through electronic mode, wherever sufficient bank account details of the unit holder are available.

Section 4- SWITCH REQUEST

- Please specify the From and To Scheme Scheme / Option for the switch transaction.
- Where Units under a Scheme are held under both Regular and Direct Plans and the switch request pertains to the Direct Plan, the same must clearly be mentioned on the request, failing which the request would be processed from the Regular Plan. However, where Units under the requested Option are held only under one Plan, the request would be processed under such Plan.
- Please specify the amount / number of units to be switched. If all units are to be switched, then please tick against the box provided
- If the balance in the Scheme Option after taking into account the switch is below the minimum switch size (either in amount or in units whichever is less), the entire balance would be switched to the target scheme.
- If the switch request specifies both amount and units for switch, the switch out transaction would be processed on the basis of number of units.

Section 5 - DECLARATION AND SIGNATURES

- All signatures should be in English or any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a
 Magistrate, Notary Public or Special Executive Magistrate.
- Applications by minors should be signed by their guardians. In the case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.
- Applications by non individual investors must be signed by the authorised officials. A list of authorized officials, duly certified and attested, should also be attached to the transaction form.
- In case of application through constituted attorney, please ensure that the POA document is signed by the beneficial investor (POA donor) and the Constituted Attorney. The signature in the transaction Form , in such cases should clearly indicate that the signature is by the Constituted Attorney.