

Distributor ARN	Sub-Distributor ARN	Internal Sub-Broker / Sol ID	EUIN	Employee Code	RIA CODE^	PMR (Portfolio Manager's Registration) Number^^	Serial No., Date & Time Stamp

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor. ^ I/We, have invested in the scheme(s) of Capitalmind Mutual Fund under Direct Plan. I/We here by give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. In respect of my/our investment under Direct Plan of all schemes of Capitalmind Mutual Fund, to the above mentioned SEBI Registered Investment Adviser. ^^I/We, have invested in the scheme(s) of Capitalmind Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Capitalmind Mutual Fund, to the above-mentioned SEBI Registered Portfolio Manager.

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

You/ Sole Applicant /Guardian	Second Applicant	Third Applicant	Power of Attorney Holder
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## TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY

☐ I confirm that I am a first time investor across Mutual Funds. OR ☐ I confirm that I am an existing investor across Mutual Funds.

In case the subscription amount is ₹10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase/subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

## 1. APPLICANT DETAILS

Folio No.

Sole / 1st Unitholder.  
(as in PAN Card / KYC records)

Guardian's Name  
(as case of minor)

1st Holder  
PAN

2nd Holder  
PAN

3rd Holder  
PAN

## 2. SYSTEMATIC TRANSFER PLAN (STP) (To be submitted at least 10 working days before the 1st due date for transfer).

From Scheme

Plan

☐ Direct

☐ Regular

Option (tick/)

☐ Growth

To Scheme

Plan

☐ Direct

☐ Regular

Option (tick/)

☐ Growth

☐ Systematic Transfer Plan (STP) (Ref to instructions before filling the below)

Transfer Frequency (Please tick (✓) any one of the below frequencies)

☐ Daily (Frequency - all business days)

☐ Weekly Please mention the day ( Default option will be tuesday )

☐ Monthly Please mention the date ( Default option will be 10th)

☐ Quarterly Please mention the date ( Default option will be 10th )

Transfer Instalment ₹

No. of Instalments

OR Transfer Period From

(First Instalment)

(Last Instalment)

Having read and understood the contents of the Scheme Information Document of the Scheme(s), I / we hereby apply for units of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s). I / We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti-corruption Laws or any other applicable laws enacted by the Government of India from time to time. I / We have understood the details of the Scheme(s) & I / we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I / We confirm that the funds invested in the Scheme(s), legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I / we hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the Law.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

For NRIs only: I / We confirm that I am / we are Non Residents of Indian nationality / origin and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR account.

I / We confirm that details provided by me / us are true and correct.

First / Sole Applicant / Guardian	Second Applicant	Third Applicant	POA Holder
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## ACKNOWLEDGMENT SLIP (To be filled in by the investor)

Folio No.

Investor Name

From Scheme

To Scheme

Amount

Frequency

Stamp & Signature

## STP Enrolment Form - Instruction

1. The STP Enrolment Form should be completed in English and in Block Letters only. Please tick in the appropriate box, where boxes have been provided.
2. The STP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of Capitalmind Mutual Fund
3. One STP Enrolment Form can be filled for one Scheme/Plan/Option only.
4. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of Capitalmind Mutual Fund, brokers/distributors and also displayed at the Capitalmind Mutual Fund website i.e. <https://www.capitalmindmf.com>
5. Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme, Units will be allotted under the same folio number. Unit holders' names should match with the details in the existing folio number, failing which; the application is liable to be rejected.
6. Systematic Transfer Plan (STP) offers transfer facility at Daily, Weekly, Monthly and Quarterly intervals.
7. Minimum amount for STP – INR 1000 and in multiples of INR 1 thereafter Minimum no. of instalments is 6 for all frequencies.
8. In case the STP date falls on a non-business day or on a day which is not available in a particular month, the STP will be processed on the next Business Day.
9. Unit holders are required to fill in either the number of instalments OR the enrolment period in the STP Form, failing which the STP shall be registered as per No. of Instalments mentioned.
10. In case, the Enrolment Period has been filled, but the STP Date and/or Frequency (Monthly/Quarterly) has not been indicated, Monthly frequency shall be treated as Default frequency and 10th shall be treated as Default Date
11. In case of nil balance in the source scheme, STP for that particular due date would not get processed. STP would cease to be active upon 3 consecutive unsuccessful transactions or if all Units of the Mutual Fund are pledged or upon receipt of intimation of death of the investor.
12. An Investor if choosing 29th, 30th or 31st of a month as the STP date, then the STP date will be automatically considered as the 2nd Calendar Day of the following month.
13. All requests for registering or discontinuing STP shall be subject to an advance notice of 10 Business days. The provision of minimum redemption amount specified in the SID of the source scheme and minimum application amount in the target scheme would not be applicable for STP.
14. The amount transferred under STP from source scheme to target scheme shall be done by redeeming Units of source scheme at Applicable NAV, subject to exit load, if any; and subscribing to the Units of target scheme at applicable NAV as on specified date